| "Express Mail" mailing label number     | EI. 832897745 US   |
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| Addressee" service under 37 C.F.R. 1.10 | eing deposited with the United States Postal Service "Express Mail Post Office to on the date indicated above and is addressed to the Commissioner for Patents, P.O. |
| Box 1450, Alexandria, VA 22313-1450     | Dueen Shoma  |
| Printed Name                            | Signature /  |

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicants   | : DOUGLAS WADE BEIGHT, ET AL.             | )                           |
|--------------|---|-----------------------------|
|              | ying Application<br>Serial No. 09/857,751 | ) ) ) Group Art Unit:       |
| Filed        | :   | ) 1625                      |
| For          | : AROMATIC AMIDES                         | ) Examiner:<br>) E.M. Huang |
| Docket No.   | : X-11792A                                | )                           |
| Confirmation | No:                                       | ,<br>)                      |

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Sir:

As a means of complying with the duty of disclosure, under 37 C.F.R. § 1.97(b) Applicants submit an "Information Disclosure Citation in an Application" on a modified Form PTO-1449 for consideration by the Examiner.

As permitted by 37 C.F.R. § 1.98(d), Applicants refer to parent application Serial No. 09/857,751, for copies of the listed documents.

Documents BA, BE - BF and CB-CC on the Form PTO-1449 were cited in the International Search Report of the parent application. A copy of the International Search Report is included with the published application as a part of the Specification on filing.

Other documents listed on the Form PTO-1449 are the following:

Document CA, a review article disclosed at text page 2, lines 25-27, in the description.

Documents AA and AB, U.S. applications from which Document BE, an international application, claims priority. Document AC is a U.S. patent (US 6,140,351) granted on application AB, and Document AC is a U.S. patent (US 6,380,221), which is a divisional of Document AC.

Documents BB and BC, international applications with the same international application date as documents BA and BD. Each of Documents BA - BD has a U.S. counterpart patent and at least one divisional application pending. Documents BG - BI. further international applications with the same international application date as the instant application, each of which discloses and claims compounds which are factor Xa inhibitors; and each of which has a U.S. application pending. For compliance with 37 C.F.R. § 1.98(2), the following list of patent numbers, publication numbers or serial numbers is provided. It is believed that the published international applications suffice to provide the specification including the claims for the cited applications (some of which are

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subject to requirements for restriction and may have divisional applications). However, should more detailed information be required by the Examiner, Applicants will endeavor to provide it promptly.

| (Doc) | Document No. | U.S. counterparts & divisionals |
|-------|--------------|---------------------------------|
| (BA)  | WO 99/00121  | US 6,313,122 B1                 |
|       |              | US-2002-0120007-A1 (09/961,164) |
| (BB)  | WO 99/00126  | US 6,313,151 B1                 |
|       |              | US 6,583,173 B2                 |
|       |              | US 6,586,459 B2                 |
| (BC)  | WO 99/00127  | US 6,417,200 B1                 |
|       |              | 10/133,907                      |
| (BD)  | WO 99/00128  | US 6,372,759 B1                 |
|       |              | US 6,500,851 B2                 |
| (BG)  | WO 00/39092  | 09/857,748                      |
| (BH)  | WO 00/39111  | 09/857,747 (and divisionals)    |
| (BI)  | WO 00/39117  | 09/857,749                      |
|       |              |                                 |

It is believed that the subject matter claimed in the instant application is patentably distinct from that of each of the granted US patents and copending applications listed as corresponding to Documents BA - BD and BG - BI; and, moreover, that the subject matter claimed in the instant application and that of each of the applications were, at the time the inventions were made, owned by the same person or subject to an obligation of assignment to the same person.

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Applicants request consideration of this information.

Respectfully submitted,

Thomas E. Jackson

Attorney for Applicants Registration No. 33,064 Phone: 317-277-3735

Eli Lilly and Company Patent Division P.O. Box 6288

Indianapolis, Indiana 46206-6288

Enclosure: Form PTO-1449 (modified)

Sheet 1 of 2

|   |   | <u> </u>                        |
|---|---|---------------------------------|
| FORM PTO 1449 (modified)                          | Atty. Docket No.<br>X-11792A              | Div. of Serial No<br>09/857,751 |
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | Applicants<br>Douglas Wade Beight, et al. |                                 |
|   | Filing Date                               | Group<br>1624                   |

| Examiner  | Cite     | Document Number   | .S. PATENT I                   |         | Name of               | Patentee or   |                   | Columns,                                      |
|-----------|----------|---|--------------------------------|---------|-----------------------|---|-------------------|---|
| Initials  | No.<br>1 | Number-Kind Code <sup>2 (i</sup><br>known)                            | f MM-DD-YY                     | YYY     | L L                   | ant of Cited<br>ocument                                     | Releva<br>or R    | s, Where<br>ant Pages<br>elevant<br>es Appear |
|           | AA       | US 08/994,284   | 12/1997                        |         | Priori<br>WO99/3      | ty for<br>2477  |                   |   |
|           | AB       | US 09/187,459   | 11/1998                        |         | WO99/3                |   |                   |   |
|           | AC       | US 6,140,351  | 10/2000                        |         | Arnaiz                | , et al.  |                   |   |
|           | AD       | US 6,380,221  | 4/2002                         |         | Arnaiz                | , et al.  |                   |   |
|           | L,       | FOF   | REIGN PATENT                   | DOCUI   | MENTS                 |   |                   |   |
| Examiner  | Cite     | Foreign Patent  |                                | Name of | Patentee              |   | * /               | T <sup>6</sup>                                |
| Initials* | No.1     | Document  Country Code <sup>3</sup> -Number  4-Kind Code5 (if  known) | Publication Date<br>MM-DD-YYYY |         | licant of<br>Document | Pages, Columns<br>Where Rele<br>Passages or F<br>Figures Ap | evant<br>Relevant |   |
|           | BA       | WO 99/00121   | 1/1999                         | WIPO    |                       |   |                   |   |
|           | BB       | WO 99/00126   | 1/1999                         | WIPO    |                       |   |                   |   |
|           | BC       | WO 99/00127   | 1/1999                         | WIPO    |                       |   |                   |   |
|           | BD       | WO 99/00128   | 1/1999                         | WIPO    | -                     |   |                   |   |
|           | BE       | WO 99/32477   | 7/1999                         | WIPO    | -                     |   |                   |   |
|           | BF       | WO 96/28427   | 9/1996                         | WIPO    |                       |   |                   |   |
|           | BG       | WO 00/39092   | 7/2000                         | WIPO    |                       |   |                   |   |
|           | ВН       | WO 00/39111   | 7/2000                         | WIPO    |                       |   |                   |   |
| _         | BI       | WO 00/39117   | 7/2000                         | WIPO    |                       |   |                   |   |
|           | BJ       |   |                                |         |                       |   |                   |   |
|           | ВК       |   |                                |         |                       |   |                   |   |

| Examiner    | Date       |  |
|-------------|------------|--|
| Signature   | Considered |  |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 2

| FORM PTO 1449 (modified)                          | Atty. Docket No.<br>X-11792A      | Div. of Serial No<br>09/857,751 |
|---|-----------------------------------|---------------------------------|
| INFORMATION DISCLOSURE CITATION IN AN APPLICATION | Applicants<br>Douglas Wade Beight | , et al.                        |
|   | Filing Date                       | Group<br>1624                   |

|                       |               | NON PATENT LITERATURE DOCUMENTS  |                |
|-----------------------|---------------|--|----------------|
| Examiner<br>Initials* | Cite<br>No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published. | <sub>T</sub> 6 |
|                       | CA            | Vacca, Joseph P. (Annette M. Doherty Section Editor),<br>Annual Reports in Medicinal Chemistry, (1998), 33, 81-90.   |                |
|                       | СВ            | Current Pharmaceutical Design, 1996, 2., "Factor Xa<br>Inhibitors," Kunitada, Satoshi, et al., pages 531-542   |                |
|                       | CC            | Katakura, S., et al., Eur. J. Med. Chem., (1995), 30, 387-394  |                |
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|                       | СР            |  |                |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

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